

# CRYPTANALYSIS OF A SYMMETRIC COLOR IMAGE ENCRYPTION WITH ONE-ROUND ENCRYPTION

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## Abstract

We present the security weakness of encryption algorithm in the form of substitution-permutation network with multiple rounds of permutation and single round of diffusion proposed by W. Zhang et al. The types of chosen-plaintext and chosen-ciphertext attacks are successful against the cryptosystem, and the equivalent versions of keys for encryption and decryption are restored. The security analysis suggests that encryption using substitution-permutation network must be executed more than one encryption round to ensure the security. Our specific examples will demonstrate the cryptanalysis.

**Keywords:** Chaos-based image encryption, Cryptanalysis

## Introduction

For decades, chaotic systems have been employed for security and privacy due to its characteristics of sensitivity on initial conditions, control parameters, pseudo-randomness and ergodicity [1]. Many methods of chaos-based encryption were proposed, including chaos-based image encryption, e.g. [2, 3, 4, 5, 6, 7]. So far, there are various ways in using chaos for designing an encryption (see [8] and therein), e.g. (i) in creation of position permutation matrices, (ii) in generation of pseudo-random bit sequences for mixing with plaintext, and (iii) in production of ciphertext with the use of plaintext as initial condition of chaotic map. However, due to intrinsic security flaws in the design of encryption algorithms, many of cryptosystems have not met basic requirements [9], so those have been broken soon after being proposed, e.g. [10, 8, 11, 12]. The architecture of substitution-permutation network (SPN) is the most prominent in providing high security for data encryption [14, 15]. In fact, chaos-based SPNs are combination of above (i) and (ii) providing security by means of avalanche characteristics [16]. Specifically, chaos-based permutation is the exchange of pixels in which the location of current pixels are considered as initial vectors of chaotic systems in computation for new locations, e.g. [17,18,2,19,4,20,21]. Chaotic systems can be utilized for the diffusion in some ways, but in most of cryptosystems chaotic systems are used as random sequence generators. Then, random sequences are mixed with plaintext words in various fashions, e.g. [22,23,24,18,25]. So far, there is very limited number of successful attacks on chaos-based substitution permutation networks reported. In the literature, to the best knowledge of the authors, there are only two successful attacks on chaos-based SPNs in the case that one round of encryption is carried out to networks, i.e. in [10, 26]. As presented in [10], the method can be extended to deal with multiple-round encryption, while the work in [10] only performs for one-round cryptosystem.

Intrinsic features of bits distributions of images have been recently investigated and exploited for the purpose of encryption proposed by W. Zhang et al. [24], in which the

architecture of SPN was utilized. In this paper, cryptanalysis on a chaos-based cryptosystem is presented. It shows that two types of attacks, chosen-plaintext and chosen-ciphertext, are successful in dealing with the cryptosystem of one-round encryption, and equivalent versions of keys for encryption/decryption are achieved. The specific examples will demonstrate the cryptanalysis.

## Description of Image Encryption

A gray level image is a matrix of pixels, in which each pixel is represented by a number of bits. The number of  $n$  bits encodes the intensity or gray scale. For example, a 8-bit pixel has 256 gray scales; 0 is black and 255 is white. A 8-bit pixel can be presented by  $b_7b_6\dots b_0$ ; where  $b_7$  and  $b_0$  are most significant and least bits, respectively. In the matrix of pixels, location and value of pixels are illustrated by  $f(x, y) = b_7b_6\dots b_0$ . A RGB image has three color layers; R (red), G (green), and B (blue). Each layer is considered as a matrix of gray scale. So, the value of pixel at location  $(x, y)$  is  $f_R(x, y)$ ,  $f_G(x, y)$ , and  $f_B(x, y)$ ; corresponding to red, green and blue color layers, respectively. To encrypt a  $N \times N$  RGB image as given in [24], the RGB color image is rearranged to exploit intrinsic features of bit distribution. Specifically, 2 most significant bits of every pixel from R, G and B color layers are extracted and merged together to become a  $N \times N$  6-bit gray scale image. Three other  $N \times N$  6-bit images are of 6 least significant bits of pixels. As illustrated in Figure 1, each of four  $N \times N$  6-bit images is a quarter of  $2N \times 2N$  square; the square of four quarters is called a matrix in the following text. The resulting  $2N \times 2N$  matrix is used for encryption. The encryption algorithm consists of two processes, i.e. confusion and diffusion as shown in Figure 2.

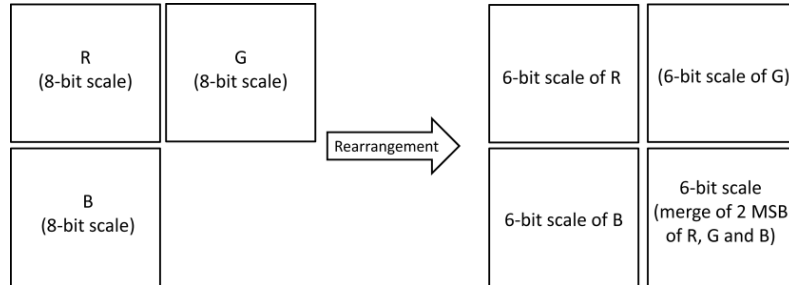


Figure 1. A RGB image is rearranged into a matrix for encryption

At a certain round of encryption, pixel permutation is accomplished by computing new location  $(x', y')$  using current  $(x, y)$  as an initial vector of chaotic map. In the decryption, inverse permutation is carried out to restore  $(x, y)$  using  $(x', y')$  as initial vector. In fact, the forward and inverse permutation is successful with the use of bijective two-dimensional chaotic map such as Cat map [29], or Standard map [27, 28] as given in Equation (1), respectively.

$$\begin{cases} x' = (x + y) \bmod N \\ y' = \left( y + k \cdot \sin \frac{x' \cdot N}{2\pi} \right) \bmod N \end{cases} \quad (1)$$

$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} 1 & p \\ q & pq + 1 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} \bmod N$$

The confusion process consists of a number of permutation rounds. As given in [24], Cat map is used for permutation. The set of system parameters  $(p, q)$  of Cat map is

considered as part of secret key, which is generated by using the state variable of Logistic map as given in Equation (2).

$$f(x_n) = \alpha x_{n-1}(1 - x_{n-1}) \quad (2)$$

The initial conditions  $x_0$  for Logistic map are  $conf\_key_1$  and  $conf\_key_2$ , respectively, for generation of parameters  $p$  and  $q$  of Cat map. It is noted that the first 2000 elements of state variable generated by logistic map is unused to ensure randomness in value of  $p$  and  $q$ . As demonstrated by W. Zhang et al. in [24], the confusion consists of multiple rounds of permutation and that is followed by one-round diffusion process. In addition, different sets of system parameters are used for different rounds of permutation. The steps in the encryption and decryption are illustrated in Figure 2. At the encryption,  $P$  is plain image, whereas at the decryption,  $P$  is recovered image.  $C$  is cipher image. Notations with the prefix of  $M$  are for matrix in 2-D, while those with  $A$  are for 1-D array. The description for notations and value ranges are written as in Equation (3).

$$\text{Encryption: } \begin{cases} P = \{f(x, y); f(x, y) \in [0, 255], \forall x, y \in [1, N]\} \\ M_E = \{f(x, y); f(x, y) \in [0, 63], \forall x, y \in [1, 2N]\} \\ MP_E = \{f(x, y); f(x, y) \in [0, 63], \forall x, y \in [1, 2N]\} \\ A_E = \{ac(i); ac(i) \in [0, 63], i \in [1, 4N^2]\} \\ AD_E = \{cipher\_d(i); cipher\_d(i) \in [0, 63], i \in [1, 4N^2]\} \\ MT_E = \{f(x, y); f(x, y) \in [0, 63], \forall x, y \in [1, 2N]\} \\ C = \{f(x, y); f(x, y) \in [0, 255], \forall x, y \in [1, 2N]\} \end{cases} \quad (3)$$

$$\text{Decryption: } \begin{cases} C = \{f(x, y); f(x, y) \in [0, 255], \forall x, y \in [1, N]\} \\ M_D = \{f(x, y); f(x, y) \in [0, 63], \forall x, y \in [1, 2N]\} \\ A_D = \{cipher\_d(i); cipher\_d(i) \in [0, 63], i \in [1, 4N^2]\} \\ AD_D = \{ac(i); ac(i) \in [0, 63], i \in [1, 4N^2]\} \\ MT_D = \{f(x, y); f(x, y) \in [0, 63], \forall x, y \in [1, 2N]\} \\ MP_D = \{f(x, y); f(x, y) \in [0, 63], \forall x, y \in [1, 2N]\} \end{cases}$$

As illustrated in Figure 2(a), the plain image is rearranged into  $2N \times 2N$  matrix,  $M_E$ , in the form given in Figure 1. The  $2N \times 2N$  matrix  $M_E$  is permuted to obtain the matrix,  $M_{PE}$ , then  $M_{PE}$  is transformed into the 1-dimensional array  $A_E$  of  $4N^2$  elements. The diffusion process is carried out on  $A_E$  in the fashion of domino, and the 1-dimensional array  $AD_E$  is achieved. The cipher word for  $i^{th}$  element is computed by

$$\begin{cases} temp_1 = cipher\_d(i - 1) \\ temp_2 = rand_1(temp_1) \\ cipher\_d(i) = ([ac(i) \oplus rand_2(temp_2)] + rand_3(i)) \bmod 64 \end{cases} \quad (4)$$

where  $rand_1$  and  $rand_2$  are random number arrays of 64 elements generated by Logistic map, whose values of elements are in the range of 0 and 63. The Logistic map as given in Equation (2) is employed, and the initial conditions of Logistic map for generation of  $rand_1$  and  $rand_2$  are  $key\_d_2$  and  $key\_d_3$ . The  $temp_1$  and  $temp_2$  are two temporary variables, and used as an indices in calling values of arrays  $rand_2$  and  $rand_1$ . The first element of 1-dimensional array  $temp_1$  ( $\equiv cipher\_d(0)$ ) takes the initial value of  $temp_1 = [\alpha \times key\_d_1 \times (1 - key\_d_1)] \times 1000 \bmod 64$ . Similarly,  $rand_3$  is an array of  $2N \times 2N$  elements generated by Logistic map using initial condition of  $_d_4$ . Then, 1-dimensional array  $AD_E$  is transformed into  $2N \times 2N$  matrix. The  $2N \times 2N$  matrix  $MT_E$  is rearranged back into the format of RGB image which is the cipher image  $C$ . As illustrated in Figure

2(b), the process for decryption is carried out in the reverse way in compared with that for encryption. The cipher image  $C$  is rearranged to the  $2N \times 2N$  matrix  $M_D$ , and then the matrix  $M_D$  is transformed into the 1-D array  $A_D$  before being inversely diffused to obtain the 1-D array  $AD_D$ . It is explicit that the equation for the inverse diffusion process at the decryptor is as

$$\begin{cases} temp_1 = cipher_{d(i-1)} \\ temp_2 = rand_1(temp_1) \\ ac(i) = \begin{cases} [64 + cipher_d(i) - rand_3(i)] \oplus rand_2(temp_2), cipher_d(i) < rand_3(i) \\ [cipher_d(i) - rand_3(i)] \oplus rand_2(temp_2), cipher_d(i) \geq rand_3(i) \end{cases} \end{cases} \quad (5)$$

It is clear that the secret key consists of  $conf\_key_1$ ,  $conf\_key_2$ ,  $key\_d_1$ ,  $key\_d_2$ ,  $key\_d_3$  and  $key\_d_4$ ; those are fraction numbers less than unity. Note that, this secret key is used for generating the encryption and decryption keys, i.e.  $rand_1$ ,  $rand_2$ , and  $rand_3$ .

Next, the 1-D array  $AD_D$  is transformed back into the  $2N \times 2N$  matrix  $MT_D$ . Inverse permutation is applied on the  $2N \times 2N$  matrix  $MT_D$  to have  $MP_D$ . The recovered plain image  $P$  is achieved by rearranging the  $2N \times 2N$  matrix  $MP_D$  into the format of RGB image as given in Figure 1.

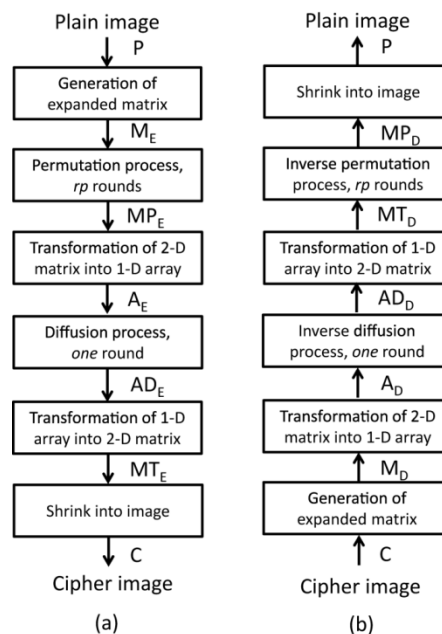


Figure 2. Encryption and decryption. (a) Steps in the encryption, (b) Steps in the decryption

## Cryptanalysis of Image Encryption

According to the Kerchoff's principle [14], all the details about a cryptosystem are transparent to all, except for the secret key. Moreover, there are four main classical types of attacks in the order of hardest to easiest as

- Ciphertext-only: The opponent possesses one or more ciphertexts.
- Known-plaintext: The opponent possesses one or more plaintexts, and its corresponding ciphertexts.

- Chosen-plaintext: The opponent can access to the encryption machinery. Some known plaintexts can be chosen for encryption and corresponding ciphertexts are obtained.
- Chosen-ciphertext: The opponent can access to the decryption machinery. Some known ciphertexts can be chosen for decryption and corresponding plaintexts are obtained.

These types of attacks are mainly to recover the plaintext or encryption/decryption keys. The cryptosystem does not provide sufficient security if at least one of the above types of attacks is successful. Let's look closely into the principles in each process of encryption algorithm for the cryptanalysis. Firstly, the confusion process exchanges every pair of pixel values in the plaintext image. In fact, regardless to the number of permutation rounds and progress of permutation, the exchange is carried out using lookup tables for row and column. In this algorithm, the lookup tables are generated by a two-dimensional chaotic map using a certain value set for the secret key; each dimension of the chaotic map is used for a dimension of image. In other words, the goal of confusion attack in the encryptor and/or decryptor is to recover the lookup tables. Secondly, the diffusion process carries out a series of computation to make the ciphertext dependent on both plaintext and encryption keys under an avalanche effect. In this encryption/decryption algorithm as in Equation (4) and (5), the encryption/decryption keys are initial value of  $temp_1$ , random sequences  $rand_1$ ,  $rand_2$ , and  $rand_3$ . It is noted that we do not expect to recover the secret key, but any successful recovery of either partially or fully encryption/decryption keys in any equivalent form, by what the plaintext is fully recovered, is enough to say that the cryptosystem is successfully attacked. This section presents the cryptanalysis using two easiest types of attacks, i.e. chosen-plaintext and chosen-ciphertext. With the chosen-plaintext attack, it is assumed that the attacker can access the encryptor and he can choose suitable plaintexts for encryption and obtains its corresponding ciphertexts for the breaking process. Similar to the chosen-ciphertext attack, the attacker can access the decryptor and suitable ciphertexts are chosen for decryption and its corresponding recovered plaintexts are obtained for the attacking process. In these cases, both encryptor and decryptor are seen as black boxes. It is noted that the cryptosystem is in the form of SPN which consists of multiple rounds of permutation followed by one round of diffusion. Throughout examples in the following text, the number of permutation rounds is of  $rp = 5$ . In order to visualize the cryptanalysis process, a small RGB image with the size of  $5 \times 5$  pixels is employed as an example, along with the description for the general case of the RGB image with the size of  $N \times N$ . In addition, the 2D matrix is used for representing the 1D sequence.

## Chosen-plaintext Attack

### Attack on Confusion

As mentioned above, the confusion of encryption algorithm performs a number of permutation rounds, thus the goal of confusion attack is to recover the lookup tables, which governs the overall pixel permutations. By taking a close look on the diffusion equation with the forward affect in Equation (4), it is clear that if the value of the  $i^{th}$  element in the 1-dimensional array is modified, as a result, it makes changed to values of elements from  $i$  to the end of sequence. The affect in value of elements of 1-dimensional array can be tracked in its cipher image and vice versa in the process of diffusion as given in Equation (5). This is considered as the basis for the confusion attack. The attack is illustrated in Figure 3 that an arbitrary image  $P_{arb}$  is chosen for encryption and the cipher image  $C_{arb}$  is obtained at the output of encryptor, the expanded matrix  $M_{E_{arb}}$  and  $MT_{E_{arb}}$  respectively from the plain image  $P_{arb}$  and the cipher image  $C_{arb}$  are obtained by rearrangement as shown in Figure 1.

The expanded matrix  $M_{E\_arb}$  and  $MT_{E\_arb}$  are used as referential masks to detect locations at what its values are changed after confusion. To attack for permutation of location  $(x_0, y_0)$ , another plain image  $P_{(x_0, y_0)}$  (called a sample plain image) is chosen so that its extended matrix  $M_{E_{(x_0, y_0)}}$  is with the value of all elements correspondingly equal to that of  $M_{E\_arb}$ , except for that of element at location  $(x_0, y_0)$ . After encryption of  $P_{(x_0, y_0)}$ , the cipher image  $C_{(x_0, y_0)}$  with its extended matrix  $MT_{E_{(x_0, y_0)}}$  is obtained for analysis. By comparing  $MT_{E_{(x_0, y_0)}}$  and  $MT_{E\_arb}$ , the location  $(x_1, y_1)$  with the beginning of value tolerances is detected. It is understood that the pixel at location  $(x_0, y_0)$ , after  $rp$  rounds of permutation, is finally exchanged with that at location  $(x_1, y_1)$  after permutation. If other sample plain images are chosen for other locations or  $(x_0, y_0)$  is run over all matrices, the full set of affected locations is achieved. In representing the overall confusion rule, two matrices with the same size of  $2N \times 2N$ , ROW and COL, are used as lookup tables, and store row and column destinations of permutation, respectively. Assume that  $(x_0, y_0)$  is the current location, and  $(x_1, y_1)$  is the destination location in the permutation. Element at location  $(x_0, y_0)$  of ROW takes the value  $x_1$  as the lookup table for row and that of COL takes to the value  $y_1$  with that for column. The confusion attack to find the permutation rule for a pair of pixels is illustrated in Figure 3 and the step-by-step procedure is described as follows to recover the confusion information of a current location  $(x_0, y_0)$  and the destination  $(x_1, y_1)$

- Step 1: Choose arbitrary values for elements of extended matrix  $M_{E\_arb}$ , e.g. equal to zeros.
- Step 2: Shrink to become  $P_{arb}$  for encryption
- Step 3: Encrypt  $P_{arb}$  to obtain  $C_{arb}$  at the output of encryptor
- Step 4: Generate the extended matrix  $MT_{E\_arb}$  using the ciphertext  $C_{arb}$
- Step 5: Select a current location for the confusion attack,  $x_0$  and  $y_0$
- Step 6: Assign  $M_{E_{(x_0, y_0)}} = M_{arb}$ , and modify the element's value of  $M_{E_{(x_0, y_0)}}$  at location  $(x_0, y_0)$  into a new value.
- Step 7: Shrink  $M_{E_{(x_0, y_0)}}$  to become  $P_{(x_0, y_0)}$  for encryption
- Step 8: Encrypt  $P_{(x_0, y_0)}$  and obtain  $C_{(x_0, y_0)}$  at the output of encryptor
- Step 9: Generate the extended matrix  $MT_{E_{(x_0, y_0)}}$  using the ciphertext  $C_{(x_0, y_0)}$
- Step 10: Compare two matrices  $MT_{E\_arb}$  and  $MT_{E_{(x_0, y_0)}}$  to find location  $(x_1, y_1)$ , at which the value tolerance starts
- Step 11: Store the value of  $x_1$  into location  $(x_0, y_0)$  of matrix ROW, and store the value of  $y_1$  into location  $(x_0, y_0)$  of matrix COL
- Step 12: Repeat Step 5 to Step 11 to scan all current locations and to find all destinations

In order to illustrate the confusion attack, an example is illustrated in Figure 4, where Standard map is employed and all system parameters are adopted as given in [24], i.e. system parameter  $\alpha = 3.99999$ , and initial conditions for generating coefficients of Cat map  $conf\_key_1 = 0.12345678912340$  and  $conf\_key_2 = 0.88795676859464$ , and parameters to generate random number arrays for the diffusion process  $key\_d_1 = 0.33798657654353$ ,  $key\_d_2 = 0.72345678912345$ ,  $key\_d_3 = 0.29837465123439$ ,  $key\_d_4 = 0.52341254685124$ , and the initial  $temp_1 = [\alpha \times key\_d_1 \times (1 - key\_d_1) \times 1000]$ . The number of permutation rounds is  $rp = 5$ , the size of plain images for attack is  $N = 5$  (all matrices with the size of  $10 \times 10$ ). Here, the extended matrix  $M_{E\_arb}$  of arbitrary plain image  $P_{arb}$  is chosen of all zeros for simplicity as seen on the left panel of Figure 4(a). After encryption, the resulted matrix  $MT_{E\_arb}$  is obtained from the ciphertext  $C_{arb}$  as

in the right panel of Figure 4(a). It is easy to observe that the sample image  $P(x_0, y_0)$  is chosen so that its extended matrix in the left panel of Figure 4(b) is with only the element at location  $(x_0, y_0) = (8, 9)$  different from that in  $M_{E\_arb}$ . After encryption for  $P(x_0, y_0)$ , the extended matrix,  $MT_{E\_ (x_0, y_0)}$ , generated using  $C(x_0, y_0)$  as in the right panel of Figure 4(b) is different from  $MT_{E\_arb}$  in the right panel of Figure 4(a), starting at location  $(x_1, y_1) = (6, 3)$  and beyond in shaded. It means that the input pixel at location  $(x_0, y_0) = (8, 9)$  exchanges with that at location  $(x_1, y_1) = (6, 3)$  in the permutation, regardless of number of permutation rounds,  $rp$ .

The result of confusion attack for the plain image with the size of  $5 \times 5$  using the above secret key is depicted in Figure 5. There, the overall confusion rule is presented in two lookup tables; Figure. 5(a) and 5(b) are for row and column, respectively. The indices of rows and columns of lookup tables represent for the original locations as  $(x_0, y_0)$  of elements in  $M_E$ , and the destination rows  $x_1$  and columns  $y_1$  are stored in the elements in the lookup tables. For example, the element of  $M_E$ , at  $(1,2)$ , is exchanged with that at location  $(8,9)$ ; 8 and 9 are values at  $(1,2)$  lookup tables for confusion of row and column, respectively. By applying this procedure, the confusion attack is successful regardless of the number of permutation rounds, type of chaotic systems, and without knowledge of secret key as well. The successful attack on confusion process will support the diffusion attack.

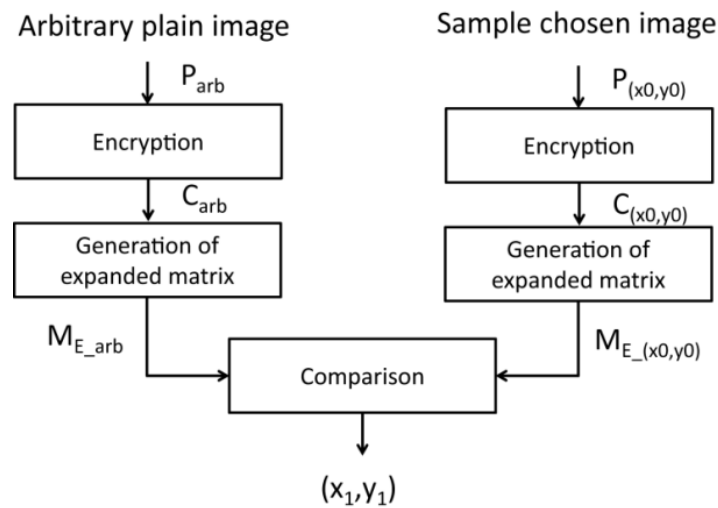


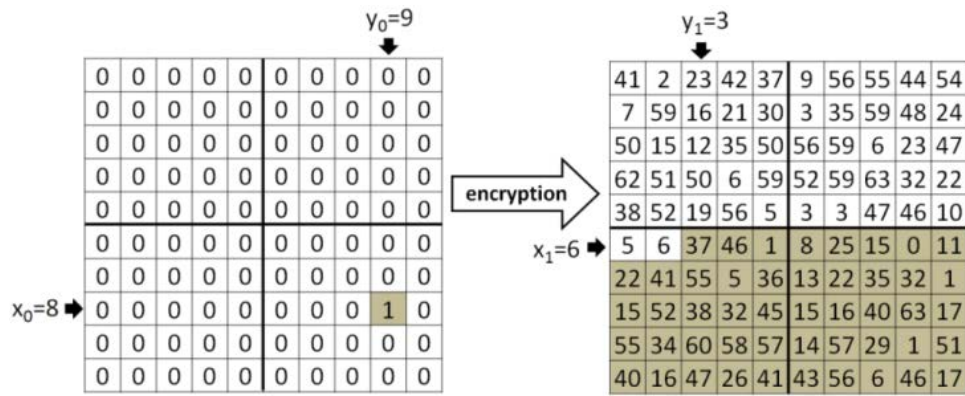
Figure 3. The procedure to recover the confusion rule in the chosen-plaintext attack for location  $(x_0, y_0)$ .

0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0

encryption →

41	2	23	42	37	9	56	55	44	54
7	59	16	21	30	3	35	59	48	24
50	15	12	35	50	56	59	6	23	47
62	51	50	6	59	52	59	63	32	22
38	52	19	56	5	3	3	47	46	10
5	6	36	0	9	9	4	14	12	20
1	60	46	18	21	25	53	7	5	59
13	31	56	40	29	38	40	0	29	16
12	0	48	11	18	13	24	17	28	15
5	26	27	36	27	41	53	56	26	15

(a) The expanded matrix of arbitrary plain image,  $M_{E\_abr}$ , (the left), and its encrypted matrix,  $MT_{E\_arb}$ , (the right)



(b) The expanded matrix of sample chosen plain image,  $M_{E_{(x_0, y_0)}}$ , (the left), and its encrypted matrix,  $MT_{E_{(x_0, y_0)}}$ , (the right)

Figure 4. Example of confusion attack

1	8	1	2	5	5	9	10	1	8
6	10	1	2	7	9	2	2	3	3
3	4	5	2	7	10	9	10	3	2
4	7	8	9	4	6	4	3	9	6
7	6	5	6	1	5	3	5	5	1
5	5	2	8	8	4	4	8	10	6
3	8	9	10	9	2	7	4	5	10
8	7	1	4	8	1	8	6	6	6
7	4	9	4	1	2	3	9	7	9
6	10	7	1	10	10	3	2	3	7

(a) the matrix ROW

1	9	3	8	9	4	1	7	9	6
8	3	8	4	2	10	7	3	10	6
1	4	7	1	7	2	9	4	9	2
1	10	8	2	5	4	7	8	4	5
9	10	1	9	7	6	7	8	5	6
2	10	10	2	4	2	6	3	10	1
5	10	5	8	7	6	1	10	3	1
1	6	10	9	5	2	7	6	3	7
5	3	3	8	5	5	3	6	3	8
2	9	4	4	5	6	2	9	4	8

(b) the matrix COL

Figure 5. Overall permutation rule. (a) Lookup table for row, (b) Lookup table for column

### Attack on Diffusion

After the confusion process, the sequence of words for diffusion is constructed by scanning row by row of elements in the matrix  $MP_E$  from top to bottom; the 1-D array  $A_E$  is obtained for the diffusion. By observing the diffusion in Equation (4), it is clear that a current cipher word is dependent directly on its value,  $ac(i)$ , and values of appropriate elements from the random sequences  $rand_2$  and  $rand_3$ . An element chosen from  $rand_3$  for diffusion is only dependent on the location of current cipher word,  $i$ , while an element in  $rand_2$  chosen for diffusion is only dependent on the value of cipher word standing immediately front,  $cipher\_d(i - 1)$ , via  $rand_1$ . This is the avalanche effect in the diffusion process. The successful attack on the confusion process in the previous section helps to locate the beginning of affect by the diffusion in the cipher matrix  $MT_E$ , and the value at such the location is used for analysis. In the diffusion attack, encryption is carried out many times as change-and-observing process.

In the diffusion attack, the recovery of elements of random sequence named  $rcv\_rd_2$  (equivalent to  $rand_2$ ) must be determined for all possible values of cipher words  $cipher\_d(i - 1)$ . Because cipher words and random sequences are represented by 6 bits, the value range of words is from 0 to 63. In other words, a resulted sequence  $rcv\_rd_2$  will have 64 elements, in which the value of  $rcv\_rd_2(i)$  will be used for computation of a cipher word with its value of  $i - 1$ . An initial value named  $rcv\_rd_{2\_initial}$  (equivalent to  $cipher\_d(0)$ ) should be found for computation of the first cipher word. In addition, a chosen



element from  $rand_3$  for diffusion is dependent on the location of current cipher word, so the attack for  $rand_3$  must be carried out at every location of cipher words using every possible value of plain words. That is, the location range of  $i$  in  $rand_3$  is from 1 to  $4N^2$  and the value range of 6-bit plain words is from 0 to 63. Thus, a matrix named  $rcv\_rd_3$  (equivalent to  $rand_3$ ) with the size of  $4N^2 \times 64$  must be obtained as the result of attack for  $rand_3$ .

Let us take a close look on Equation (4), there is a XOR operation ( $\oplus$ ) between  $ac(i)$  and  $rand_2(temp_2)$ , the value of bits at different positions in  $rand_2(temp_2)$ , can be easily detected by observing resulted values of  $cipher\_d(i)$  in the cases of  $ac(i) = 0$  and  $ac(i) \neq 0$ . Bit values at different positions of  $rand_2(temp_2)$  can be induced by means of bit tests for every bit position. Several values of  $ac(i)$  are interested for detecting bit values in  $rand_2(temp_2)$ ; those are  $ac(i) = 1, 2, 4, 8$ , and 16 possibly corresponding to detection the bit value at positions  $b_0, b_1, b_2, b_3$ , and  $b_4$  of  $rand_2(temp_2)$ . It is noted that  $cipher\_d(i)$  takes the value of  $(rand_2(temp_2) + rand_3(i)) \bmod 64$  when  $ac(i) = 0$ . To detect the bit value  $b_0$  of  $rand_2(temp_2)$ ,  $ac(i) = 1$  is applied to the encryptor. If the value of  $cipher\_d(i)$  increases by 1 in compared with that when  $ac(i) = 0$ , the bit  $b_0$  of  $rand_2(temp_2)$  is of zero. However, if the value of  $cipher\_d(i)$  decreases by 1 in comparison with that when  $ac(i) = 0$ , the bit  $b_0$  of  $rand_2(temp_2)$  is of one. Figure 6 illustrates the example to detect the value of bit  $b_0$  of  $rand_2(temp_2)$ . Similarly, bits at different positions are tested to predict the value of other bits in  $rand_2(temp_2)$  with different values of  $ac(i)$  as given in Table 1.

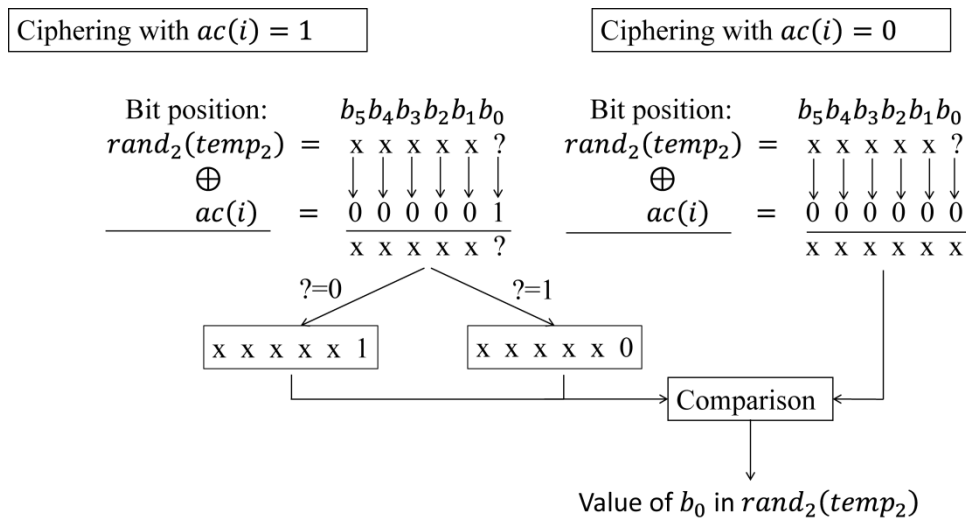


Figure 6. Example for value detection of bit  $b_0$

Let us consider the value of  $b_5$  of  $rand_2(temp_2)$  as an exception due that its value causes large change in the output of test. The operation of  $mod$  to 64 in the diffusion equation in Equation (4) leads to two solutions in detecting the value of bit  $b_5$  of  $rand_2(temp_2)$ . In order to illustrate the value detection for  $b_5$  of  $rand_2(temp_2)$  as an example shown in Figure 7, there  $cipher\_d(i) = rand_2(temp_2) + rand_3(i)$  is equal to either 52 or 116 when  $ac(i) = 0$ . Either  $b_5 = 0$  or  $b_5 = 1$  leads to the result  $cipher\_d(i) = 20$  when  $ac(i) = 32$ . This is always true for these values of  $rand_2(temp_2)$  and  $rand_3(i)$  with  $(rand_2(temp_2), rand_3(i)) \in [0, 63]$ . Thus, it is concluded that there are two possible values of  $rcv\_rd_2$  by what the diffusion results right values of  $cipher\_d(i)$  and correspondingly two possible values of  $rcv\_rd_3(i)$  must be taken into account in the diffusion attack. In other words, two pairs of possible values of  $(rcv\_rd_{2a}, rcv\_rd_{3a})$  and

( $rcv\_rd_{2b}, rcv\_rd_{3b}$ ) by what the same value of  $cipher\_d(i)$  is resulted. Therefore, in the example of diffusion attack dealing with  $5 \times 5$  image, two sets of diffusion keys are obtained; two random sequences (named  $rcv\_rd_{2a}$  and  $rcv\_rd_{2b}$ ) are achieved, each of sequences has 65 elements including initial ones for diffusing of the first element ( $i = 1$ ), and two sequences (named  $rcv\_rd_{3a}$  and  $rcv\_rd_{3b}$ ) are represented in the form of  $4N^2 \times 64$  matrices. Note that the value of elements in  $rcv\_rd_{3a}$  and  $rcv\_rd_{3b}$  is derived from the constraint with respectively  $rcv\_rd_{2a}$  and  $rcv\_rd_{2b}$  for a certain value of  $cipher\_d(i)$  and  $ac(i)$ . In other words,  $rcv\_rd_{3a}$  and  $rcv\_rd_{3b}$  are indirectly dependent on  $cipher\_d(i - 1)$ . In the replica encryption using the recovered encryption keys  $rcv\_rd_{3a}$  or  $rcv\_rd_{3b}$ , the element  $rcv\_rd_{3a}(i, j)$  or  $rcv\_rd_{3b}(i, j)$ ,  $i \in [1, 4N^2]$  and  $j \in [1, 64]$ , is used for computing for the cipher word  $cipher\_d(i)$  with the value of  $cipher\_d(i - 1) = j$ . As a result, the equation representing for replica diffusion using recovered keys as in Equation 6, where  $rcv\_rd_2$  and  $rcv\_rd_3$  is a certain pair of recovered keys.

$$\begin{cases} cipher\_d(0) = rcv\_rd_{2\_initial} \\ cipher\_d(i) = ([ac(i) - rcv\_rd_2(cipher\_d(i - 1))] + rcv\_rd_3(i, cipher\_d(i - 1))) \bmod 64, \\ \quad \text{for } i = 1 \dots 4N^2 \end{cases} \quad (6)$$

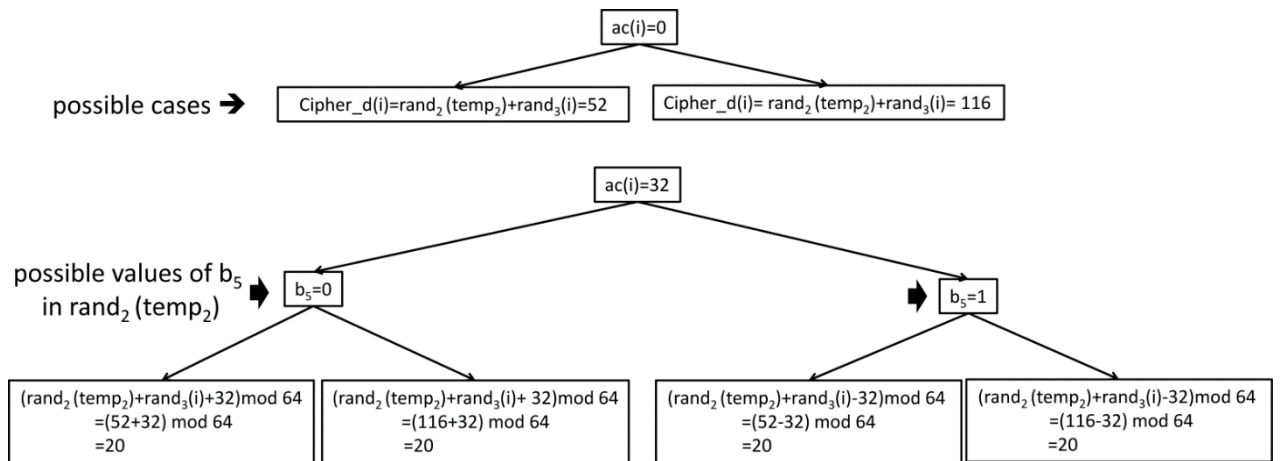


Figure 7. Example of bit value detection of  $b_5$  of  $rand_2(temp_2)$ .

As an example considers a  $5 \times 5$  RGB image with values representing for pixels in the R, G and B layers as in Figure 8(a) and its corresponding expanded matrix for encryption is composed by four squares I, II, III and IV as displayed in Figure 8(b). It is recalled that pixels in the quarters I, II and III are from 6 least significant bits of pixels of G, R and B color channels, respectively. Six least significant bits of pixels in the square IV are composed by merging 2 most significant bits from pixels of G, R and B color channels. The  $10 \times 10$  expanded matrix in Figure 8(b) is ready for encryption. The original random sequence is shown in Figure 8(c). The recovered random sequence  $rcv\_rd_{2a}$  and  $rcv\_rd_{2b}$  are depicted in Figure 8(d) and 8(e), where the isolated ones are initial values of  $rcv\_rd_2$  for decrypting the first cipher word,  $cipher\_d(1)$ . The first rows of  $rcv\_rd_{2a}$  and  $rcv\_rd_{2b}$  are values of  $cipher\_d(i - 1)$  and the second rows are values of  $rcv\_rd_2$  corresponding to  $cipher\_d(i - 1)$ . The recovered random arrays  $rcv\_rd_{3a}$  and  $rcv\_rd_{3b}$  are too large to depict in the figure. It is noted that the original random sequence  $rand_2$  is completely different from the recovered ones. The cipher image in Figure 8(f) is obtained under the

formal encryption in Equation 4 with original encryption keys as given in Figure 8(c). Figure 8(g) presents the cipher image by replicating the encryption using the recovered lookup tables as in Figure 5 and one pair of random sequences ( $rcv\_rd_{2a}$  and  $rcv\_rd_{3a}$ ) with the diffusion equation in Equation (6). The cipher image obtained by replica encryption is identical to that using formal encryption. In other words, it is clear that the encryption algorithm cannot resist from the type of chosen-plaintext attack.

**Table 1. Detection of Bit Values**

Value of $ac(i)$ used for detecting the value of bits in $rand_2(temp_2)$	Amount of change in $cipher\_d(i)$ compared with $cipher\_d(i)$ when $ac(i) = 0$	Bit value $b_i$ in $rand_2(temp_2)$
$ac(i) = 1$	+1	$b_0 = 0$
	-1	$b_0 = 1$
$ac(i) = 2$	+2	$b_1 = 0$
	-2	$b_1 = 1$
$ac(i) = 4$	+4	$b_2 = 0$
	-4	$b_2 = 1$
$ac(i) = 8$	+8	$b_3 = 0$
	-8	$b_3 = 1$
$ac(i) = 16$	+16	$b_4 = 0$
	-16	$b_4 = 1$

R					G				
118	111	130	147	148	48	35	69	99	110
111	123	141	148	130	39	58	89	99	70
111	124	126	134	128	40	59	63	73	70
91	103	111	129	135	27	37	49	80	82
90	99	123	129	127	19	30	65	88	69
94	94	130	160	148					
98	121	145	147	119					
93	114	103	118	132					
73	86	93	129	135					
67	82	110	132	122					

(a) RGB channels of plain image

54	47	2	19	20	48	35	5	35	46
47	59	13	20	2	39	58	25	35	6
47	60	62	6	0	40	59	63	9	6
27	39	47	1	7	27	37	49	16	18
26	35	59	1	63	19	30	1	24	5
30	30	2	32	20	17	17	38	38	38
34	57	17	19	55	17	17	38	38	22
29	50	39	54	4	17	17	17	22	38
9	22	29	1	7	17	17	17	38	38
3	18	46	4	58	17	17	21	38	21

(b) Expanded matrix for encryption

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
43	6	47	10	9	18	13	28	8	49	22	55	4	23	46	50
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
37	59	3	40	51	45	7	16	63	20	5	58	41	26	36	1
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
60	24	35	57	44	39	17	0	52	53	38	54	19	56	29	11
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64
62	31	30	14	25	27	34	21	61	12	32	48	2	42	15	33

(c) Original random sequence  $rand_2$

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
16	13	1	10	21	28	0	20	3	14	16	18	25	23	24	12
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
26	5	13	8	29	4	26	23	2	22	6	27	9	10	9	7
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
2	17	28	15	24	6	4	1	18	29	7	0	19	19	8	17
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64
5	31	30	15	21	30	31	27	12	14	25	11	20	22	3	11

(d) The first recovered random sequence  $rcv\_rd_{2a}$

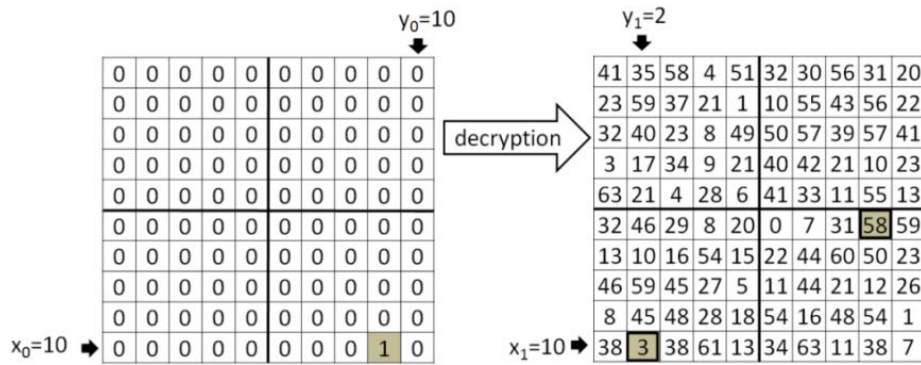


sample extended matrix  $M_{D_{(x_0,y_0)}}$  are identical to those in  $M_{D_{arb}}$ , except for the element at location  $(x_0, y_0)$ . After decryption, there is only one element at location  $(x_1, y_1)$  in  $M_{D_{(x_0,y_0)}}$  at which the value of element is different from that at the same location in  $MP_{D_{arb}}$ . It means that the element at location  $(x_0, y_0)$  is exchanged with that at location  $(x_1, y_1)$ . Similarly, the attacking process is continued for the location  $(x_0, y_0) = (N, N - 1)$  by choosing the cipher image  $C_{(x_0,y_0)}$  in the same way as mentioned above. Here, the inverse diffusion makes values of two last elements in  $A_{D_{(x_0,y_0)}}$  at  $(N, N - 1)$  and  $(N, N)$  changed in comparison with those in  $A_{D_{arb}}$ . After inverse confusion, these two elements are distributed in  $MP_{D_{(x_0,y_0)}}$ . As a technique to detect inverse confusion rule for  $(x_0, y_0)$ , two elements in  $MP_{D_{(x_0,y_0)}}$  have values different from those in  $MP_{D_{arb}}$  detected. One of elements with the value tolerance is at the location for  $(x_0, y_0) = (N, N)$  as previously recorded, the other one is for  $(x_0, y_0) = (N, N - 1)$ . In other words, the destination location  $(x_1, y_1)$  for  $(x_0, y_0) = (N, N - 1)$  is found. The process is continued back to  $(x_0, y_0) = (1, 1)$  to accomplish the inverse confusion attack. The step-by-step procedure to recover confusion rule is as follows

- Step 1: Choose arbitrary values for elements of extended matrix  $M_{D_{arb}}$ , e.g. equal to zeros.
- Step 2: Shrink to become  $C_{arb}$  for decryption
- Step 3: Decrypt  $C_{arb}$  to obtain  $P_{arb}$  at the output of decryptor
- Step 4: Generate the extended matrix  $MP_{D_{arb}}$  using the recovered plaintext  $P_{arb}$
- Step 5: Select a current location for the inverse confusion attack,  $x_0$  and  $y_0$
- Step 6: Assign  $M_{D_{(x_0,y_0)}} = M_{D_{arb}}$ , and modify the element's value of  $M_{D_{(x_0,y_0)}}$  at location  $(x_0, y_0)$  into a new value.
- Step 7: Shrink  $M_{D_{(x_0,y_0)}}$  to become  $C_{(x_0,y_0)}$  for decryption
- Step 8: Decrypt  $C_{(x_0,y_0)}$  and obtain  $P_{(x_0,y_0)}$  at the output of decryptor
- Step 9: Generate the extended matrix  $MP_{D_{(x_0,y_0)}}$  using the recovered plaintext  $P_{(x_0,y_0)}$
- Step 10: Compare two matrices  $MP_{D_{arb}}$  and  $MP_{D_{(x_0,y_0)}}$  to find all possible locations  $(x_1, y_1)$ , at which the value tolerances occur
- Step 11: Keep the only new location of  $(x_1, y_1)$ , which has not existed in lookup tables
- Step 12: Store the value of  $x_1$  into location  $(x_0, y_0)$  of matrix ROW, and store the value of  $y_1$  into location  $(x_0, y_0)$  of matrix COL
- Step 13: Repeat Step 5 to Step 12 to scan all current locations and to find all destinations

Following example demonstrates the inverse confusion attack, in which the value of parameters for decryption is adopted same as in the above examples. Figure 10 illustrates example of  $10 \times 10$  extended matrices to detect locations whose elements are exchanged with those in  $(x_0, y_0) = (10, 10)$  and  $(x_0, y_0) = (10, 9)$ . The left panels of Figures 10(a) and 10(b) display the chosen arbitrary matrix  $M_{D_{arb}}$  with all elements of zeros and the sample extended one  $M_{D_{(x_0,y_0)}}$  with  $(x_0, y_0) = (10, 10)$ , respectively. The tolerance in values of elements in its corresponding  $MP_{D_{arb}}$  and  $MP_{D_{(x_0,y_0)}}$  after decryption is detected at location  $(x_1, y_1) = (6, 9)$  as seen in the right panel of Figure 10(a) and 10(b). In other words, the element at  $(x_0, y_0) = (10, 10)$  is exchanged with that at  $(x_1, y_1) = (6, 9)$  in the inverse confusion. Continuously, the sample extended one  $M_{D_{(x_0,y_0)}}$  with  $(x_0, y_0) = (10, 9)$  as on the left panel of Figure 10(c). After decryption and by comparing between  $MP_{D_{arb}}$  and  $MP_{D_{(x_0,y_0)}}$  respectively in the right panels of Figure 10(a) and 10(c), the





(c) Sample of chosen values for elements of extended matrix and its recovered one for  $(x_0, y_0) = (10, 9)$

1	8	1	2	5	5	9	10	1	8
6	10	1	2	7	9	2	2	3	3
3	4	5	2	7	10	9	10	3	2
4	7	8	9	4	6	4	3	9	6
7	6	5	6	1	5	3	5	5	1
5	5	2	8	8	4	4	8	10	6
3	8	9	10	9	2	7	4	5	10
8	7	1	4	8	1	8	6	6	6
7	4	9	4	1	2	3	9	7	9
6	10	7	1	10	10	3	2	3	7

1	9	3	8	9	4	1	7	9	6
8	3	8	4	2	10	7	3	10	6
1	4	7	1	7	2	9	4	9	2
1	10	8	2	5	4	7	8	4	5
9	10	1	9	7	6	7	8	5	6
2	10	10	2	4	2	6	3	10	1
5	10	5	8	7	6	1	10	3	1
1	6	10	9	5	2	7	6	3	7
5	3	3	8	5	5	3	6	3	8
2	9	4	4	5	6	2	9	4	8

(d) Recovered lookup tables of decryptor, ROW (the left) and COL (the right)

Figure 10. Confusion attack in chosen-ciphertext on  $10 \times 10$  extended matrices.

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	
11	16	13	1	10	21	60	0	52	35	14	48	18	25	55	24	12
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	
26	5	45	40	61	4	58	23	34	22	6	27	41	42	9	7	
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	
2	49	28	15	56	38	36	33	50	29	39	32	51	19	8	17	
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	
37	63	62	47	53	30	31	59	44	46	57	11	20	54	3	43	

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	
43	48	45	33	42	53	28	32	20	3	46	16	50	57	23	56	44
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	
58	37	13	8	29	36	26	55	2	54	38	59	9	10	41	39	
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	
34	17	60	47	24	6	4	1	18	61	7	0	19	51	40	49	
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	
5	31	30	15	21	62	63	27	12	14	25	43	52	22	35	11	

(a) Recovered diffusion key  $rcv\_rd_{2a}$

(b) Recovered diffusion key  $rcv\_rd_{2b}$

118	111	130	147	148
111	123	141	148	130
111	124	126	134	128
91	103	111	129	135
90	99	123	129	127

48	35	69	99	110
39	58	89	99	70
40	59	63	73	70
27	37	49	80	82
19	30	65	88	69

94	94	130	160	148
98	121	145	147	119
93	114	103	118	132
73	86	93	129	135
67	82	110	132	122

(c) RGB channels of decrypted plain image using recovered diffusion keys

Figure 11. Chosen-ciphertext attack on  $5 \times 5$  image.

## Attack on Inverse Diffusion

It is clear that the attack on the inverse diffusion can be preceded only if the inverse confusion rule has been known. Very similar to the diffusion process in the encryption, by observing the equation for decryption in Equation (5) that a cipher word is decrypted with the dependence on its value and the value of the cipher word immediately before. Thus, the approach to attack the inverse diffusion using chosen-ciphertext is almost similar to that in the chosen-plaintext attack as shown in the previous section; that is, sample ciphertexts are chosen for the decryption and corresponding outputs are collected to detect the inverse diffusion keys. The objective of this attack is to find possible inverse diffusion keys  $rcv\_rd_2$  and  $rcv\_rd_3$  equivalent to the original random sequences  $rand_3$  and  $rand_2$ . In fact, the value of  $rand_3(i)$  and  $rand_2(temp_2)$  in Equation (5) cannot be directly derived from the availability of  $ac(i)$  and  $cipher\_d(i)$ , thus the method of trial-and-error is utilized to find possible values of  $rcv\_rd_2$  and  $rcv\_rd_3$ . It is clear that an element from  $rand_2$  used for decrypting  $cipher\_d(i)$  is dependent on  $cipher\_d(i - 1)$  via  $rand_1$ . In the inverse diffusion attack, this dependence is written as  $rcv\_rd_2(cipher\_d(i - 1))$ . Thus, to find  $rcv\_rd_2(cipher\_d(i - 1))$  and  $rcv\_rd_3(i)$  equivalent to  $rand_2(temp_2)$  and  $rand_3(i)$  in the inverse decryption of  $cipher\_d(i)$  with a certain value of  $cipher\_d(i - 1)$ , 64 sample extended matrices are chosen with different values of  $cipher\_d(i)$  from 0 to 63 are decrypted to produce corresponding decrypted matrices,  $MP_D$ . Different values of  $cipher\_d(i)$  and corresponding values of  $ac(i)$  are used for deriving  $rcv\_rd_2(cipher\_d(i - 1))$  and  $rcv\_rd_3(i)$  by means of computation. That is carried out based on these sequences,  $cipher\_d(i)$  and  $ac(i)$ . By taking a close look on Equation (5), the second case of computation for  $a(i)$  is always applied when  $cipher\_d(i) = 63$ ;  $cipher(i) \geq rand_3(i)$ . This is used as a constraint in computation for possible values of  $rcv\_rd_2(cipher\_d(i - 1))$  and  $rcv\_rd_3(i)$ . In other words, for a certain value of  $cipher\_d(i - 1)$ ,  $cipher\_d(i) = 63$  is chosen to search for possible values of  $rcv\_rd_2(cipher\_d(i - 1))$  and  $rcv\_rd_3(i)$ ;  $rcv\_rd_2(cipher\_d(i - 1))$  scans from 0 to 63, and appropriate values of  $rcv\_rd_3(i)$  are obtained under the given constraint. In addition, any appropriate pair of values of  $rcv\_rd_2(cipher\_d(i - 1))$  and  $rcv\_rd_3(i)$  must fulfill Equation (5). So, each pair of possible values of  $rcv\_rd_2(cipher\_d(i - 1))$  and  $rcv\_rd_3(i)$  are tried out to compute sequences of values of  $cipher\_d(i)$  and  $ac(i)$ . Right values of  $rcv\_rd_2(cipher\_d(i - 1))$  and  $rcv\_rd_3(i)$ , equivalent to  $rand_2(temp_2)$  and  $rand_3(i)$ , produce the sequences of values of  $cipher\_d(i)$  and  $ac(i)$  matching with those extracted from the above decryption.

Obviously, the XOR operation in Equation (5) leads to two pairs of correct values of  $rcv\_rd_2(cipher\_d(i - 1))$  and  $rcv\_rd_3(i)$  corresponding to a certain value of  $cipher\_d(i - 1)$ . If the value of  $cipher\_d(i - 1)$  is scanned for the range of from 0 to 63, two sets of correct sequences  $rcv\_rd_2$  and  $rcv\_rd_3$  are resulted and used as the decryption keys ( $rcv\_rd_{2a}, rcv\_rd_{3a}$ ) and ( $rcv\_rd_{2b}, rcv\_rd_{3b}$ ). Thus, each of  $rcv\_rd_3$  is organized in the form of  $4N^2 \times 64$ . It is noted that  $temp_2$  is not cared in the inverse diffusion attack, instead the value of  $cipher\_d(i - 1)$  and location of cipher words (the index of  $i$ ) are important information in the attack. The pseudo code for the diffusion attack is as follows

Input: arbitrary values for elements of extended matrix  $MD_{arb}$

Output: equivalent arrays of random values  $rcv\_rd_2$  and  $rcv\_rd_3$

FOR  $i = 1$  to  $4N^2$

    FOR  $m = 0$  to 63

        Set  $cipher\_d(i - 1) = m$

        FOR  $n = 0$  to 63



```

Set  $cipher\_d(i) = n$  for  $M_D$ 
Shrink  $M_D$  to become the ciphertext  $C$ 
Decrypt  $C$  to obtain the recovered plaintext  $P$ 
Generate  $MP_D$  using the recovered plaintext  $P$ 
Extract  $ac(i)$ 
END
Obtain sequences  $cipher\_d(i)$  and  $ac(i)$  (*)
At  $cipher\_d(i) = 63$  (denoted  $cipher63$ ), find value of  $ac(i)$  (denoted  $ac63$ )
FOR  $s = 0$  to 63
    Assume  $rcv\_rd_2(cipher\_d(i - 1)) = s$ 
    Find  $rcv\_rd_3(i) = cipher63 - [ac63 \oplus rcv\_rd_2(cipher\_d(i - 1))]$ 
    FOR  $r=0$  to 63
        Compute  $ac(i)$  using  $cipher\_d(i) = r$ ,  $rcv\_rd_2(cipher\_d(i - 1))$  and
 $rcv\_rd_3(i)$  (**)
    END
    Compare sequences  $cipher\_d(i)$  and  $ac(i)$  in (*) and those in (**)
    IF (TRUE)
        Record  $rcv\_rd_2(cipher\_d(i - 1))$  and  $rcv\_rd_3(i)$ 
    END
END
END
END
END

```

As a result, two sets of right sequences,  $(rcv\_rd_{2a}, rcv\_rd_{3a})$  and  $(rcv\_rd_{2b}, rcv\_rd_{3b})$ , are obtained. Each of  $rcv\_rd_2$  consists of 65 elements included an initial one for the decryption of the first cipher word,  $cipher(1)$ . Each of  $rcv\_rd_3$  is represented in the form of  $4N^2 \times 64$  matrix, in which  $rcv\_rd_3(i, j)$  is used for decrypting  $cipher\_d(i)$  with  $cipher\_d(i - 1) = j$ . For the replica decryption, these pairs of recovered keys can be used as decryption keys to obtain decrypted plain image, where the equation for inverse diffusion is

$$\left\{ \begin{array}{l} cipher\_d(0) = rcv\_rd_{2\_initial} \\ ac(i) = \begin{cases} [64 + cipher\_d(i) - rcv\_rd_3(i, cipher\_d(i - 1))] \oplus rcv\_rd_2(cipher\_d(i - 1)), \\ \dots \text{for } cipher\_d(i) < rcv\_rd_3(i, cipher\_d(i - 1)) \\ [cipher\_d(i) - rcv\_rd_3(i, cipher\_d(i - 1))] \oplus rcv\_rd_2(cipher\_d(i - 1)), \\ \dots \text{for } cipher\_d(i) \geq rcv\_rd_3(i, cipher\_d(i - 1)) \end{cases} \end{array} \right. \quad (7)$$

Figure 11 displays the result of chosen-ciphertext attack,  $rcv\_rd_{2a}$  and  $rcv\_rd_{2b}$  in Figure 11(a) and 11(b), respectively. Note that, the isolate elements in Figure 11(a) and 11(b) are initial values of  $rcv\_rd_{2a}$  and  $rcv\_rd_{2b}$ . The original sequence  $rand_2$  is as in Figure 8(c). Due to the space limit,  $rcv\_rd_{3a}$  and  $rcv\_rd_{3b}$  are not shown here. The  $5 \times 5$  cipher image in Figure 8(f) is decrypted using the recovered  $rcv\_rd_{2a}$  in Figure 11(a) and  $rcv\_rd_{3a}$ , and the result is shown in Figure 11(c). It is observed that the decrypted plain image in Figure 11(c) is identical to the original plain image in Figure 8(a). It is obvious that the recovered inverse diffusion keys  $rcv\_rd_{2a}$  and  $rcv\_rd_{2b}$  in this example for the chosen-ciphertext attack as shown in Figure 11(a) and 11(b) are not identical to those in the example for the chosen-plaintext attack as given in in Figure 8(d) and 8(e). In general, most of recovered diffusion keys are different from original ones that are why they are called “equivalent keys”. After thorough tests, the recovered lookup tables and the pairs of diffusion keys in encryptor and decryptor can be used equivalently to the original keys. In addition,

the attack is efficient for images regardless to the image size and the number of permutation rounds.

## Time Measurement for Attacks

### For Confusion Attack

In this subsection, the time measurement of confusion attack is considered for both the chosen-plaintext and chosen-ciphertext attacks. It is measured by the number of encryption/decryption times and the amount of time in computation for an image with the size of  $N \times N$ . Note that the size of  $2N \times 2N$  for matrices is taken into account in the computation. In both the chosen-plaintext and chosen-ciphertext attacks, confusion attack for a pair of elements in a matrix is required one encryption/decryption time, thus  $2N \times 2N$  times of encryption/decryption are carried out for recovering lookup tables. It is assumed that an amount of time for encryption and decryption are  $T_{en}$  and  $T_{de}$ , respectively. In each time of encryption/decryption, an amount of time for preparation of chosen-plaintext/-ciphertext images  $T_p$  and that for detecting changes in values of elements  $T_d$  are taken into account. This means that the amounts of time for the confusion attack for a pair of elements in matrices are  $(T_p + T_{en} + T_d)$  and  $(T_p + T_{de} + T_d)$  for the chosen-plaintext and chosen-ciphertext, respectively. However, values of pairs of elements are exchanged each other, thus as an optimum only one half of elements are to be considered. That is only true in the case that every element in the first half of extended matrix is exchanged with that in the second half. It does not occur in practical encryption. For a matrix with the size of  $2N \times 2N$ , the total amounts of time for the confusion attacks are

$$T_{confusion\_CP} = 4 \times N^2 \times (T_p + T_{en} + T_d) \quad (8)$$

for the chosen-plaintext and

$$T_{confusion\_CC} = 4 \times N^2 \times (T_p + T_{de} + T_d) \quad (9)$$

for the chosen-ciphertext.

**Table 2. Attacking Time**

Type of attack	Time for confusion attack	Time for diffusion attack
Chosen-plaintext attack	$4 \times N^2 \times (T_p + T_{en} + T_d)$	$256 \times N^2 \times [6 \times (T_{en} + T_p) + T_{d\_CP}]$
Chosen-ciphertext attack	$4 \times N^2 \times (T_p + T_{de} + T_d)$	$256 \times N^2 \times [6 \times (T_{de} + T_p) + T_{d\_CC}]$

### For Diffusion Attack

In the diffusion attack with chosen-plaintext and chosen-ciphertext, the more encryption/decryption time and more computation is required while in attacking. Firstly, let us consider complexity for diffusion break in the type of chosen plaintext attack. As mentioned in the description of diffusion attack that  $rand_2$  and  $rand_3$  are dependent on the value of cipher words immediately before ( $cipher\_d(i)$ ) and the location of cipher words,  $i$ , respectively. For a certain value of  $cipher\_d(i)$ , six encryption times is carried out to have sequences of  $ac(i)$  versus  $cipher\_d(i)$  for detection of values of bits in  $rand_2$  and  $rand_3$ ;

$ac(i) = [0, 1, 2, 4, 8, 16]$ . In addition, all possible values of plain words  $ac(i - 1)$  for producing  $cipher\_d(i - 1)$  are from 0 to 63, in other words, consideration for detecting values of a pair of elements in  $rand_2$  and  $rand_3$  is required 64 times of encryption. Assumed that amount of time for detection of values of bits for a pair of elements in  $rand_2$  and  $rand_3$  is  $T_{d\_CP}$  and that for preparation for a plaintext image is  $T_p$ . Thus, amount of time for detecting a pair of elements equivalent to those in  $rand_2$  and  $rand_3$  are  $64 \times [6 \times (T_{en} + T_p) + T_{d\_CP}]$ . As a result, a matrix with the size of  $2N \times 2N$  is required totally

$$T_{diffusion\_CP} = 256 \times N^2 \times [6 \times (T_{en} + T_p) + T_{d\_CP}]. \quad (10)$$

Secondly, the amount of time required for inverse diffusion attack in the chosen-ciphertext is considered. It is very similar to consideration for that in the chosen-plaintext attack, except that the number of 64 decryption times are carried out for a pair of elements what are equivalent to those in  $rand_2$  and  $rand_3$  rather than 6. It is noted that amount of time for analysing to find appropriate values of elements equivalent to  $rand_2$  and  $rand_3$  is  $T_{d\_CC}$ . That is mostly spent for comparison between two matrices with the size of  $2 \times 64$ , obtained by  $cipher\_d(i)$  and  $ac(i)$ . Thus, the total amount of time for attacking for a matrix with the size of  $2N \times 2N$  is

$$T_{diffusion\_CC} = 256 \times N^2 \times [64 \times (T_{de} + T_p) + T_{d\_CC}]. \quad (11)$$

Let us roughly compare the time consumption in the chosen-plaintext and chosen-ciphertext attacks. Total amount of time for the confusion attack in the chosen-plaintext (Equation (8)) is different from that in the chosen-ciphertext (in Equation (9)) with an amount of  $\Delta T_{confusion} = 4 \times N^2 \times \delta_C$ ; where  $\delta_C = |T_{de} - T_{en}|$ . This tolerance is small when  $\delta_C$  is negligible, or the encryption and decryption take almost the same amount of time. Furthermore, it is clear that the difference of time consumption for the diffusion attack between in the chosen-plaintext (Equation (8)) and in the chosen-ciphertext (Equation (9)) is pretty large, i.e.  $256 \times N^2 \times [58 \times (T_{de} + T_p)]$ , with the assumption of  $T_{d\_CP} \approx T_{d\_CC}$  and  $T_{en} \approx T_{de}$ . This is considerably large in compared with amount of time for diffusion attack in the chosen-plaintext. As a consequence, a larger amount of time is required for the chosen-ciphertext attack in comparison with that for the chosen-plaintext attack. The summary of time consumption is shown in Table 2.

## Discussion and Conclusion

According to cryptanalysis and examples illustrated in Figure 8 and 11, the recovered encryption/decryption keys are different from original ones, but those are equivalent to originals. The attacks do not require any knowledge about value of parameters for chaotic systems. In addition, as given in Table 2, amount of time for breaking the cryptosystem using the chosen-ciphertext is considerably larger than that using the chosen-plaintext, and that is strongly dependent on the size of image, i.e.  $N^2$ . Moreover, in the above examples for chosen-plaintext and chosen-ciphertext attacks, the extended matrices of plain image and cipher one chosen for comparison with the encryption and decryption results are of all pixels of zeros. In fact, any image can be employed for this purpose, but it is required that the value of element at a location being attacked in sample chosen extended matrices must be different from that in these ones.

The cryptosystem proposed by W. Zhang et al. with one encryption round of SPN does not provide security even multiple rounds of permutation followed by one diffusion process. By taking a close look on attack procedures, it does not depend on how many permutation rounds are before diffusion. In addition, lookup tables may not be recovered in

case there is more than one encryption round, and accordingly attacking for diffusion must be failed. It means that, the cryptosystem can provide extremely high security if multiple encryption rounds are applied. In such the case, encryption time may reduce by reducing a number of permutation rounds to one. In this context, it is clear that the statistical analysis for the encryption does not mean that the security is assured. That only suggests a minimum number of rounds to ensure that the cipher image cannot be detected by human perspective. In summary, again one encryption round for of SPN is proved to be insecure. It is to suggest that cryptosystems based on the architecture of SPN must have more than one encryption round in order to get high security. In the case of multiple encryption round, these attack methods cannot be successful. This will be dealt in the future work of research.

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